# ICANN | ASO

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#### ASO AC – APAC Space

Presenting the Draft "RIR Governance Document"

ICANN 83 | 10 June 2025

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# About the ASO AC

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#### ICANN ASO AC (Address Council)

What is it?	The Address Supporting Organization (ASO) was established by ICANN to advise on Internet number resource policy
How is it organized?	<ul> <li>15 members (3 from each RIR region)</li> <li>In each region - two are elected, one is appointed by the RIR</li> </ul>
What does it do?	<ul> <li>Advises the ICANN Board</li> <li>Oversees the Global Policy Development Process</li> <li>Appoints two members to the ICANN Board (seats 9 &amp; 10)</li> <li>Appoints one member to the ICANN Nominating Committee</li> </ul>

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#### **2025 Address Council Members**



Kevin Blumberg\* (ARIN)



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Nick Nugent (ARIN)

Amy Potter

(ARIN)



Akinori Maemura\* (APNIC)



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Nicole Chan (APNIC)





(a)

Esteban Lescano\* (LACNIC)



Jorge Villa Ric (LACNIC) (

Ricardo Patara (LACNIC)



Hervé Clément\* (RIPE NCC)



Constanze Bürger (RIPE NCC)



Andrei Robachevsky (RIPE NCC)

\*Appointed by RIR Board

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## ICP-2 Review Background Information, Process and Timeline

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#### What is ICP-2?

- Internet Coordination Policy 2
- Document that provides criteria for recognizing <u>new</u> RIRs
  - Examples
    - Sufficiently large proposed service region
    - Support of local numbering community
    - Technical capability
- https://www.icann.org/resources/pages/new-rirs-criteria-2012-02-25-en

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#### **ICP-2** Timeline

(New RIRs under ICP-2)

1992	RIPE NCC established
1993	APNIC established
1997	ARIN established
1998	ICANN established
2001	ICANN adopted ICP-2
2002	LACNIC established
2005	AfriNIC established

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#### Why revisit ICP-2?

- ICP-2 is nearly 25 years old
  - The Internet has changed over the last quarter century
  - The relationships between RIRs and ICANN and between each other have changed
- Need to more explicitly provide for
  - An RIR's <u>ongoing</u> responsibilities
  - Potential <u>derecognition</u> of an RIR that can no longer adequately provide for the needs of its numbering community

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#### **ICP-2 Review Project**

On 25 October 2023, the NRO EC\* asked the ASO AC to help with two tasks aimed at strengthening the RIR system:

- (1) ICP-2 Implementation Procedures: Review and advise the NRO EC on its draft procedures for validating and addressing ongoing RIR compliance with ICP-2 ("Implementation Procedures")
- (2) Strengthen ICP-2: Revise ICP-2 to make the RIR system more accountable to the Internet community

\*Read more about the NRO EC at: https://www.nro.net/about/executive-council/

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# Proposed Principles to Update ICP-2 (completed)

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#### **Core Principles and Consultation**

The ASO AC drafted proposed principles that would form the basis of updating ICP-2. The principles were shared with the RIR and ICANN communities for feedback from 8 October to 6 December 2024.

ICANN Public Comment: 14 responses received

**RIR Questionnaire:** 298 responses received

The responses were analysed, and a summary report of the RIR Questionnaire was published in February 2025. Input from both processes was used to inform the drafting of the document.

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# ICP-2 Principles Consultation Report



https://www.nro.net/policy/internet-coordination-policy-2/icp-2-principles-questionnaire-report-and-data/

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#### Introducing the Draft "RIR Governance Document"

Governance Document for the Recognition, Maintenance, and Derecognition of Regional Internet Registries

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### Draft "RIR Governance Document"



https://www.nro.net/policy/internet-coordination-policy-2/rir-governance-document/

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The draft "RIR Governance Document" represents a comprehensive update to ICP-2, introducing detailed governance structures, operational requirements, and procedures for the full lifecycle management of RIRs, all developed through extensive community consultation. The draft document:

- Clarifies scope and lifecycle management
- Formalizes governance structures
- Strengthens operational requirements
- Clarifies roles, responsibilities, and procedures

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#### **Clarified Scope and Lifecycle Management**

Original ICP-2 (2001): Focused primarily on the criteria for the establishment of new RIRs. RIR Governance Document (2025): Clarifies the scope to encompass the entire lifecycle of RIRs, including:

- **Recognition:** Criteria and processes for recognizing new RIRs.
- **Operation:** Ongoing obligations and requirements for RIRs to maintain recognition.
- **Derecognition:** Establishes procedures for the potential derecognition of RIRs that fail to meet specified criteria.

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#### **Formalization of Governance Structures**

**Original ICP-2:** Outlined principles such as neutrality, impartiality, and bottom-up policy development but lacked detailed governance structures.

**RIR Governance Document:** Introduces more detailed governance requirements, including:

- Member-Controlled Governance: Majority of an RIR's governing body must be elected by its members.
- **Corporate Governance:** Requires RIRs to adhere to good corporate governance procedures.
- Anti-Capture Measures: Requires RIRs to implement controls to prevent any single entity or group from gaining undue influence over an RIR.

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#### **Strengthened Operational Requirements**

Original ICP-2: Emphasized technical capabilities and the provision of registration services.

**RIR Governance Document:** Expands operational expectations to include:

- Audit Obligations: RIRs must undergo regular audits by external and independent auditors to ensure compliance.
- **Transparency:** Requires comprehensive public documentation of governance, activities, and finances.
- **Continuity Planning:** Mandates procedures and redundancies to ensure service continuity, including the ability for another RIR to assume services if necessary.

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#### **Clarification of Roles, Responsibilities, and Procedures**

**Original ICP-2:** Provided general guidelines while only implying the roles of various organizations.

**RIR Governance Document:** Clearly delineates responsibilities:

- **RIRs:** Must initially approve any proposal to recognize or derecognize an RIR.
- **ICANN:** Exercises final authority to approve or reject proposals, following consultation with RIRs.
- **Procedures:** Specifies procedures and approval thresholds for proposals.

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#### Consultation

14 April 2025 - 27 May 2025

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#### **ICP-2 Review Timeline**



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#### **RIR ICP-2 Review Engagements**

Engagement Type	AFRINIC	APNIC	ARIN	LACNIC	RIPE NCC
In-person Presentations	N/A	N/A	yes	yes	yes
Webinars/Attendance	1/91	2/53	1/36	N/A	1/41
Mailing List Participation (subscribers/posts)	23/6	59/17	62/23	58/5	RIPE List/17
Blog (posts/views)	1/89	2/671	1/44	1/84	(to come)

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#### **ICANN Public Comment Closed on 27 May 2025**

The proposed "Governance Document for the Recognition, Maintenance, and Derecognition of Regional Internet Registries (RIRs)" ("the RIR Governance Document") sets forth the

- Rules and criteria for recognizing new RIRs
- Operating obligations and requirements of RIRs
- Rules and criteria for derecognizing RIRs

If adopted by ICANN and the RIRs, the RIR Governance Document would supersede and replace the <u>Internet</u> <u>Coordination Policy 2 (ICP-2)</u>.

https://www.icann.org/en/public-comment/proceeding/governance-document-for-therecognition-maintenance-and-derecognition-of-rirs-14-04-2025/submissions

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#### **Community Engagements Planned**

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#### **ASO AC at ICANN 83**

Sunday, 8 June	•	ASO and ICANN Board, 09:00-10:30
Monday, 9 June	•	ASO and At-Large, 10:45-12:15, South Hall 3C ASO and RSSAC, 13:45-15:00, South Hall 1
Tuesday, 10 June		APAC Space, 09:00-10:15, North Hall ASO and ISPCP, 13:45-15:00, Terrace 2B ASO Information Session, 15:30-17:00, Terrace 2A
Wednesday, 11 June	•	Africa Space, 09:00-10:15, South Hall 3 A/B ASO and GAC, 11:30-12:15, Forum Hall

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#### **ICP-2** Timeline

#### The Draft "RIR Governance Document" Consultation

14 April 2025	<ul> <li>Draft "RIR RIR Governance Document" published</li> <li>RIR consultations in each region and ICANN Public Comment proceeding launched</li> </ul>			
27 May 2025 9-12 June 2025	Public consultation closes			
	ASO AC will review feedback received			
Find August / September 2025	<ul> <li>The revised "RIR Governance" document will be published</li> <li>The next round of consultations will open</li> </ul>			
Q4 2025	<ul> <li>Final review and revision of updated document</li> <li>Begin NRO and ICANN approval and adoption process</li> </ul>			

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#### **Proposed Timeline**

- To publish the amended document by end August early September
- Have a comment period that spans all RIR Meetings in the second half of the year and ICANN 84
- To work more closely with ICANN stakeholder communities during the preparations for ICANN 84 to ensure that you can work together during the meeting
- Close the public comment shortly after the ICANN 84

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#### **Next Steps**

- Working through feedback received from ICANN and RIR consultations during ICANN 83
  - The ICANN report has been published: <u>https://itp.cdn.icann.org/en/files/public-comment/summary-report-governance-document-recognition-maintenance-derecognition-rirs-06-06-2025-en.pdf</u>
- Further consultation on amended draft we will publish the exact timelines once finalised
- Continue outreach with all stakeholders

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# **Questions?**